UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

75091

7590

03/23/2009

Creative/3Dlabs/Groover P.O. BOX 802889 DALLAS, TX 75380 EXAMINER

DALENCOURT, YVES

ART UNIT PAPER NUMBER

2457 DATE MAILED: 03/23/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/037.688	01/04/2002	Osman Kent	3DL.271US(TD-198)	8291

TITLE OF INVENTION: IMAGE DISPLAY SYSTEM WITH VISUAL SERVER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$0	\$0	\$755	06/23/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless correcte maintenance fee notificat	ed below or directed oth	ng the Patent, advance nerwise in Block 1, by	orders and notification of (a) specifying a new corre	maintenance fees will spondence address; ar	be mailed to the current ad/or (b) indicating a sepa	correspondence address as arate "FEE ADDRESS" for	
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				(s) Transmittal. This c ers. Each additional p	ertificate cannot be used f	or domestic mailings of the for any other accompanying ant or formal drawing, must	
75091 7590 03/23/2009				Certifi	cate of Mailing or Trans	mission	
Creative/3Dlabs/Groover P.O. BOX 802889 DALLAS, TX 75380			I he Star add trar	Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.			
						(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	A A	TTORNEY DOCKET NO.	CONFIRMATION NO.	
10/037,688	01/04/2002	•	Osman Kent	•	3DL.271US(TD-198)	8291	
TITLE OF INVENTION	: IMAGE DISPLAY SY	STEM WITH VISUAL	SERVER				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE F	EE TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$755	\$0	\$0	\$755	06/23/2009	
EXAM	INER	ART UNIT	CLASS-SUBCLASS	1			
DALENCOU	URT, YVES	2457	709-247000	-			
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A. PLEASE NOTE: Unl	ondence address (or Cha 3/122) attached. ication (or "Fee Address 12 or more recent) attach ND RESIDENCE DAT/ ess an assignee is ident h in 37 CFR 3.11. Comp	" Indication form led. Use of a Customer A TO BE PRINTED ON ified below, no assigne		o 3 registered patent avely, le firm (having as a magent) and the names meys or agents. If no printed. pe) patent. If an assignee assignment.	ember a 2of up to name is 3is identified below, the definition of the de	ocument has been filed for	
Please check the appropriate. 4a. The following fee(s) are listed in the second secon	are submitted:		printed on the patent): 4b. Payment of Fee(s): (Ples A check is enclosed.	1	1 0	oup entity Government	
Publication Fee (No small entity discount permitted) Advance Order - # of Copies			☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
	s SMALL ENTITY statu	ıs. See 37 CFR 1.27.			ENTITY status. See 37 CI		
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if requeecords of the United Sta	uired) will not be accep ites Patent and Tradema	ted from anyone other than rk Office.	the applicant; a registe	red attorney or agent; or th	ne assignee or other party in	
Authorized Signature				Date			
Typed or printed name			Registration No.				
This collection of inform an application. Confident submitting the completed this form and/or suggesti	ation is required by 37 C tiality is governed by 35 I application form to the ons for reducing this bu	CFR 1.311. The informa U.S.C. 122 and 37 CFD USPTO. Time will varden, should be sent to	tion is required to obtain or R 1.14. This collection is es ry depending upon the indi- the Chief Information Offic	retain a benefit by the timated to take 12 mir vidual case. Any comi er, U.S. Patent and Tr	public which is to file (and uttes to complete, including ments on the amount of ting ademark Office. U.S. Denz	by the USPTO to process) ag gathering, preparing, and me you require to complete artment of Commerce. P.O.	

Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/037,688	01/04/2002	Osman Kent	3DL.271US(TD-198)	8291
75091 75	90 03/23/2009		EXAM	IINER
Creative/3Dlabs/Groover P.O. BOX 802889 DALLAS, TX 75380		DALENCOURT, YVES		
		ART UNIT	PAPER NUMBER	
		2457		
		DATE MAILED: 03/23/200	9	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 127 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 127 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Interview Summary 10/037.688 KENT ET AL. Examiner		Application No.	Applicant(s)			
All participants (applicant, applicant's representative, PTO personnel): (1) **YVES DALENCOURT** (2) **Jie Tan.** (3)	Intonviou Summary	10/037,688	KENT ET AL.			
All participants (applicant, applicant's representative, PTO personnel): (1) \(\frac{VYES DALENCOURT}.\) (3)	interview Summary	Examiner	Art Unit			
(1) YVES DALENCOURT. (2) Jie Tan. (3)		YVES DALENCOURT	2457			
Date of Interview: 13 March 2009. Type: a) ☐ Telephonic b) ☐ Video Conference c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative] Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No. If Yes, brief description: ☐ Claim(s) discussed: ☐ Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☐ N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display (line 11) — wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data on the visual server after a predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data —. In claim 7, insert after frame (line 16) — , wherein the visual server after a predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data in the visual server after a predetermined duration of generating image-modifying data in the visual server transmits the modified mage from the visual server to the client as compressed data (line 9) — , wherein the step of transmitting the modified data image as frame, wherein the visual server transmits the modified mage to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data has occurred; and wherein the visual server tr	All participants (applicant, applicant's representative, PTO	personnel):				
Type: a) ☐ Telephonic b ☐ Video Conference c ☐ Personal [copy given to: 1] ☐ applicant 2) ☐ applicant's representative] Exhibit shown or demonstration conducted: d) ☐ Yes e ☐ No. If Yes, brief description: Claim(s) discussed: Identification of prior art discussed: Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☐ N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display [line 11], wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating an image based upon the transmitting the modified data image as frame; wherein the visual server transmits the modified data image as frame; wherein the client as compressed data (line 9), wherein the step of transmitting the modified data image as frame; wherein the visual server transmits the modified mage tron the client as compressed data further comprising the step of transmitting the modified data image as frame; wherein the visual server after a predetermined duration of generating an image based upon the transmits the modif	(1) <u>YVES DALENCOURT</u> .					
Type: a) Telephonic b) Video Conference c) Personal (copy given to: 1) applicant 2) applicant's representative] Exhibit shown or demonstration conducted: d) Yes e) No. If Yes, brief description:	(2) <u>Jie Tan</u> .	(4)				
Exhibit shown or demonstration conducted: d) Yes e) No. If Yes, brief description: Claim(s) discussed: Identification of prior art discussed: Agreement with respect to the claims f) was reached. g) was not reached. h) N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display (line 11), wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to: In claim 7, insert after frame (line 16), wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data as late to the visual server after a predetermined duration of generating image-modifying data as frame; wherein the visual server transmits the modified image from the visual server to the client as compressed data further comprising the step of transmitting the modified data image as frame; wherein the visual server transmits the modified image from the visual server to the client as compressed data further comprising the step of transmitting the modified data image as frame; wherein the visual server transmits the modified image from the visual server to the client as compressed data further comprising the step of tr	Date of Interview: <u>13 March 2009</u> .					
If Yes, brief description: Claim(s) discussed: Identification of prior art discussed: Agreement with respect to the claims f) was reached. g) was not reached. h) N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: *Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display (line 11) , wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating an image based upon the transmitting the modified image from the visual server to the client as compressed data (line 9) , wherein the step of transmitting the modified data image as frame; wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data has to the visual server after a predetermined duration of generating image-modifying data. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, a summary thereof must be attached.)	Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	2)∏ applicant's representative	e]			
Agreement with respect to the claims f) was reached. g) was not reached. h) N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display (line 11), wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data In claim 7, insert after frame (line 16) , wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data In claim 23, insert after compressed data (line 9) , wherein the step of transmitting the modified image from the visual server to the client as compressed data further comprising the step of transmitting the modified data image as frame; wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data has occurred; and wherein the client transmits the image-modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of	, <u> </u>	e) No.				
Agreement with respect to the claims f) was reached. g) was not reached. h) N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display (line 11) , wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server transmits the modified image to the client after generating image-modifying data, wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data, in claim 23, insert after compressed data (line 9), wherein the step of transmitting the modified image from the visual server to the client as compressed data further comprising the step of transmitting the modified data image as frame; wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmits the modified image to the client after predetermined duration of generating an image-modifying data to the visual server transmits the image-modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server transmits the image-modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating an image based upon the transmits the modified image to the client after predetermined duration of generating an image based upo	Claim(s) discussed:					
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display (line 11) , wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data In claim 7, insert after frame (line 16) , wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data In claim 23, insert after compressed data (line 9) , wherein the step of transmitting the modified image from the visual server to the client as compressed data further comprising the step of transmitting the modified data image as frame; wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data Cancel claims 6, 8 – 3, and 26 – 27. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)	Identification of prior art discussed:					
reached, or any other comments: Authorization for this examiner's amendment was given in a telephone interview with Jie Tan on 03/13/2009. The application has been amended as follows: In claim 1, insert after display (line 11) , wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data In claim 7, insert after frame (line 16) , wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data In claim 23, insert after compressed data (line 9) , wherein the step of transmitting the modified image from the visual server to the client as compressed data further comprising the step of transmitting the modified data image as frame; wherein the visual server transmits the modified image to the client after predetermined duration of generating an image based upon the transmitted image, modifying data has occurred; and wherein the client transmits the image-modifying data to the visual server after a predetermined duration of generating image-modifying data Cancel claims 6, 8 – 3, and 26 – 27. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable, a summary thereof must be attached.)	Agreement with respect to the claims f) was reached. g) was not reached. h) N/A.					
INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.						

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
 attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
 not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.